



PTO/SB/08A (10-01)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 2

Complete if Known

Application Number	09/815,824
Filing Date	March 22, 2001
First Named Inventor	Karpoff, Wayne T.
Art Unit	2184
Examiner Name	Unassigned
Attorney Docket Number	019714-000120US

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U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
JM	AA	Pub. No.: US-2001/0049740 A1	12-06-2001	Karpoff	
JM	AB	US-5,657,488	08-12-1997	Stallmo et al.	
JM	AC	US-5,875,456	02-23-1999	Stallmo et al.	
JM	AD	US-6,052,759	04-18-2000	Stallmo et al.	
JM	AE	US-6,085,234	07/04/2000	Pitts et al.	
JM	AF	US-5,611,049	03/11/1997	Pitts	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
JM	AG	WO	02/08899	A2	01-31-2002	Bopardiker et al.		
JM	AH	WO	02/13033	A1	02-14-2002	Singhal et al.		

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Sheet 2 of 2

Complete if Known

Application Number 09/815,824
Filing Date March 22, 2001
First Named Inventor Karpoff, Wayne T.
Art Unit 2184
Examiner Name Unassigned
Attorney Docket Number 019417-000120US

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
JM	AI	"Storage Virtualization", Storage Virtualization Brief, <u>VERITAS Software Corporation</u> , pp. 1-19 (April 2001).	
JM	AJ	"VERITAS SANPoint Foundation Suite HA Sharing Data in Storage Area Networks," <u>VERITAS Software Corporation</u> , pp. 1-8 (Jan. 2001).	
JM	AK	Sosinsky, B., "The Business Value of Virtual Volume Management", <u>VERITAS Software Corporation</u> , pp. 1-12 (Oct. 2001).	
JM	AL	Winett, B., "Silicon Storage Appliances Implementation Advantage, <u>DataDirect Networks, Inc.</u> , pp. 1-13 (June 2001, Rev. Nov. 30, 2001).	
JM	AM	Woolery, R., "DataDirect Networks' Silicon Storage Appliance Enabling Storage Networks," <u>DataDirect Networks, Inc.</u> , pp. 1-10.	

Examiner
Signature

Joseph M. ...

Date
Considered

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

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Complete if Known

Application Number	09/815,824
Filing Date	March 22, 2001
First Named Inventor	Karpoff, Wayne T.
Art Unit	2184
Examiner Name	Wiley, David A.
Attorney Docket Number	019417-000210US

U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
W	1	US-6,246,829 B1	06-05-2001	Chan	
W	2	US-6,138,247 A	10-24-2000	McKay et al.	
W	3	US-5,862,312 A	01-19-1999	Mann et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
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FORM PTO-1449 (Modified)		Attorney Docket No.: 19417-000210US		Application No.: Unassigned		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: Wayne T. Karpoff				
		Filing Date: Herewith		Group: Unassigned		
Reference Designation		U.S. PATENT DOCUMENTS			Page 1	
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
JM AA	6,148,414	11/14/00	Brown et al.	714	9	03/03/99
JM AB	5,758,085	05/26/98	Kouoheris et al.	395	200.61	01/08/97
AC						
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FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
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AU						
AV						
AW						
EXAMINER <i>L. Williams</i> DATE CONSIDERED 6/14/01						

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First Named Invent r	Karpoff, Wayne T.
Group Art Unit	2184
Examiner Name	Not Yet Assigned
Attorney Docket Number	019417-000210US

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		Number	Kind Code ² (if known)			
JM	1	6,112,206		Morris et al.	08/29/00	
JM	2	6,192,411	B1	Chan et al.	02/20/01	
JM	3	6,151,297		Congdon et al.	11/21/00	
JM	4	6,148,414		Brown et al.	11/14/00	
JM	5	6,216,173	B1	Jone et al.	04/10/01	

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FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature		Date Considered	07/21/04
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